



VICE-DEANSHIP OF POSTGRADUATE STUDIES AND RESEARCH

STATEMENT OF COMMITMENT

Barcelona, __ of _____, 202_

Dr Xavier Pujadas Martí, as Chairman of the Academic Committee for the Education and Sports Sciences Programme, Ramon Llull University,

And Mr/Mrs _____, as a **PhD student** at Ramon Llull University, hereinafter “the PhD student”,

Dr _____ (name and surname of all supervisors must be included in case of co-supervision), as **doctoral thesis supervisor**, hereinafter “the supervisor”,

Dr _____, as the **PhD student’s tutor**, hereinafter “the tutor”.

DECLARE

That, in accordance with Royal Decree RD 99/2011, in its last modification of July 18, 2023, doctorate studies, corresponding to the third cycle, lead to the acquisition of competences and skills related to quality scientific research. The development of the Doctorate Programme, then, has to make it possible for students to write a doctoral thesis by carrying out an original, innovating research plan and a personalised doctoral training plan that helps them acquire basic and specific competences, and following a professional development pathway linked to research.

This statement of commitment defines every party’s rights and duties, as well as the reciprocal commitments of the parties signing this document, in order to guarantee the achievement of objectives while developing the doctoral thesis.

For this reason, the parties subscribe to this statement of commitment and

AGREE

1. Agreement of collaboration between the PhD student, the tutor and the supervisor

The PhD student, the tutor and the supervisor commit to establishing and keeping mutual collaboration in order to achieve the objectives defined in the Doctorate Programme, such as developing and submitting a research plan and a personal training plan, updating and improving these

plans, and writing and defending the PhD student's thesis, in accordance with deadlines and procedures established in the Doctorate Programme regulations and other applicable rules.

2. Tutor's commitment

The tutor commits to regularly and periodically guiding the PhD student under their supervision. Besides, the tutor shall look out for the PhD student's interaction with the Doctorate Programme managing team, particularly with the Academic Committee. At the same time, the tutor commits to regularly revising the PhD student's personalised activity document (DAD in Spanish) and issuing an annual report so that the Doctorate Programme Academic Committee can assess it, together with the PhD student's DAD and research plan (Art. 11.5, 11.7 of RD 99/2011).

3. Supervisor's commitment

The doctoral thesis supervisor or supervisors commit to establishing follow-up and personal guidance sessions regularly enough in order to supervise the PhD student's training process and research plan, guiding the project while being developed, with the goal of updating and improving it throughout the doctorate period. At the same time, the supervisor shall see to it that the PhD acquires autonomy and shall keep them informed about regulations, good practice codes and basic duties of PhD students while developing their research tasks.

The thesis supervisor shall see to it that the PhD student's research plan is original, training-related, innovative and coherent with the line of research chosen by the PhD student. Moreover, the supervisor shall assess and motivate the PhD student for scientific dissemination of research results and to carry out research placements.

4. PhD student's commitment

The PhD student commits to developing doctorate studies within the framework established by applicable regulations and in accordance with the commitments defined in this document. The PhD student shall have to keep a regular relationship with their tutor and supervisors, and inform their supervisor regularly about the evolution of their work, results obtained, and emerging problems.

At the same time, the PhD student shall have to keep an updated record of all their research and training activities via their DAD, observe comments made by their supervisor, and get actively involved in activities within their Doctorate Programme.

To that effect, the PhD student's commitment shall be full-time or part-time and they commit to developing their thesis following deadlines established in current regulations.

5. Regarding confidentiality

The PhD student commits to keeping secret all confidential information revealed by their supervisor, tutor or other researchers either orally, graphically, in writing or via any other communication channel. Furthermore, the PhD student agrees not to reveal, communicate, cede or disseminate to third parties any information concerning their work without prior consent by their supervisor, and to use collected information exclusively to carry out their doctoral programme.

The PhD student also commits to not revealing any information concerning the research project where they participate without prior authorization by their supervisor or tutor in writing and expressly. Likewise, the PhD student commits to signing the specific statements of commitment concerning confidentiality as required by their supervisor or tutor.

The PhD student, supervisor and tutor commit to keeping secret all the information that they share within the context of the research project that the thesis is ascribed to.

6. Regarding intellectual property

The PhD student and the supervisor have the right to be recognized as holders of the intellectual property rights that may correspond to them in accordance with current legislation and to appear as co-authors in all the papers, articles or communications where they present the research work that the PhD student has taken part in in a relevant manner.

The PhD student has the right to exercise their intellectual property rights deriving from their educational activity in research and according to their contribution, as established in current legislation.

The aforementioned rights are independent, compatible and accumulative with other rights that may derive from their research work, notwithstanding the conditions that derive from the collective work when the PhD student takes part in or is linked to a group research project.

7. Regarding industrial property

In the event that the outcome is any patentable invention as a result of the doctoral thesis research work, both the PhD student and the supervisor can hold rights on the invention if the policy of the institution in charge and the nature of the research project allow so. This right shall be assessed individually for every possible invention by the person in charge in every institution together with the supervisor, the PhD student and the doctorate programme coordinator.

In any case, the PhD student and the supervisor will appear as co-inventors in the Spanish patent application form, as well as in any other patent application form submitted concerning the same invention in another country or in an international office.

Likewise, the PhD student commits to providing their collaboration to the centre in charge of their Doctorate Programme or to the natural person or legal entity that these rights have been transferred to, for the presentation of the eventual patent application or other posterior documents.



8. Regarding conflicts

In the event of breach of any commitment included in this document, the parties have to inform the Doctorate Programme coordinator, who will then act as a mediator. If their mediation does not solve the conflict, the complaint or claim has to be submitted to the Doctorate Programme Academic Committee, who will consider the claim and inform the parties about the resolution in writing.

Against the Doctorate Programme Academic Committee's resolution, an appeal can be lodged with Ramon Llull University Ombudsman Office.

If the doctorate is carried out in the context of partnership with another institution, the parties have to comply with the specific provisions as described in the collaboration agreement that the people signing this document have to be aware of.

9. Validity of this Statement of Commitment

This document shall enter into force on the day of signature and up to five years after the PhD student's doctoral thesis defence.

In witness whereof, the parties sign this document on the aforementioned date and place.

Dr Xavier Pujadas Martí
Chairman of the Doctorate Programme Academic Committee

Mr/Mrs _____
PhD student at Ramon Llull University

Dr _____
Doctoral thesis supervisor

(In the event of co-supervision, all supervisors have to sign)

Dr _____
Ramon Llull University PhD student's tutor